UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF TEXAS WACO DIVISION

LEDCOMM LLC, Plaintiff,

v.

SIGNIFY NORTH AMERICA CORP., SIGNIFY HOLDING B.V., and SIGNIFY N.V. Defendants. Civil Action No. 6:20-cv-01056-ADA

JURY TRIAL DEMANDED

JOINT CLAIM CONSTRUCTION STATEMENT

Pursuant to the Court's Scheduling Order (ECF No. 29) and Standing Order Governing Proceedings – Patent Cases, Plaintiff LedComm LLC and Defendants Signify North America Corp., Signify Holding B.V., and Signify N.V. hereby jointly file the attached Joint Claim Construction Chart identifying the terms for construction in this case and the parties' constructions. All terms were proposed for construction by Defendants.¹

¹ While LedComm initially proposed the term "inserted into" in claim 1 of U.S. Patent No. 7,301,176 for construction, it no longer contends that construction of this term is necessary.

Dated: October 29, 2021 Respectfully submitted,

By: /s/ Jae Pak (by permission)

Raymond W. Mort, III (TX Bar No. 00791308)

THE MORT LAW FIRM, PLLC

100 Congress Ave, Suite 2200

Austin, Texas 78701

Phone/Fax: (512) 865-7950 Email: raymort@austinlaw.com

Cole B. Richter (admitted pro hac vice)
Jae Y. Pak (admitted pro hac vice)

David R. Grosby (admitted pro hac vice)

LEE SULLIVAN SHEA & SMITH LLP

656 West Randolph Street, Floor 5W

Chicago, IL 60661 Phone: (312) 754-0002 Fax: (312) 754-0003 Email: richter@ls3ip.com Email: pak@ls3ip.com Email: grosby@ls3ip.com

Attorneys for Plaintiff Ledcomm LLC

By: /s/ Brady Cox

Brady Cox (TX Bar No. 24074084)

ALSTON & BIRD LLP

2200 Ross Avenue, Suite 2300

Dallas, Texas 75201 Phone: (214) 922-3400 Fax: (214) 922-3899

Email: brady.cox@alston.com

Adam Swain (admitted pro hac vice)

ALSTON & BIRD LLP

950 F Street, NW

Washington, DC 20004-1404 United States of America Phone: 202.239.3300

Fax: 202.239.3333

Email: adam.swain@alston.com

Ravi Shah (*admitted pro hac vice*)

ALSTON & BIRD LLP

90 Park Avenue

15th Floor

New York, NY 10016-1387 Telephone: (212) 210-9400 Facsimile: (212) 210-9444 Email: ravi.shah@alston.com

Attorneys for Defendants Signify North America Corp.,

Signify Holding B.V., and Signify N.V.

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a true and correct copy of this document was served on all counsel of record in this case by filing the same via the Court's CM/ECF System on October 29, 2021, which will send a notice of filing of same to all parties.

/s/ Brady Cox Brady Cox

JOINT CLAIM CONSTRUCTION CHART

I. <u>U.S. Pat. No. 6,803,606</u>

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
1. A light emitting device comprising:	reflector	reflector	
a substrate; a light emitting element on the substrate;	No construction necessary; plain and ordinary meaning.	"a structure forming a side wall for reflecting the light beam outgoing from the light	
a reflector on the substrate for reflecting a light beam outgoing from the light emitting element; and		emitting element"	
a resin disposed between the light emitting element and the reflector on the substrate,	a face of the reflector on that reflects	a face of the reflector on that reflects	a face of the reflector that reflects
wherein a face of the reflector on that reflects a light beam outgoing from the light emitting element is formed into a rough surface.	AGREED	AGREED	

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
	formed into a rough surface	formed into a rough surface	
	No construction necessary; plain and ordinary meaning.	Indefinite. If not indefinite, "formed into a surface having an arithmetic mean roughness over 1 micron." Claim 1 is a product-by-process claim that requires forming the claimed structure into a rough surface.	
2. The light emitting device as defined in claim 1,	the rough surface	the rough surface	
wherein the rough surface of the reflector has an arithmetic mean roughness ranging of 1 µm or more and 20 µm or less.	No construction necessary; plain and ordinary meaning.	Indefinite. If not indefinite, "the surface having an arithmetic mean roughness over 1 micron."	
	reflector	reflector	
	No construction necessary; plain and ordinary meaning.	"a structure forming a side wall for reflecting the light beam outgoing from the light emitting element"	

Case 6:20-cv-01056-ADA Document 35 Filed 10/29/21 Page 6 of 19

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
5. The light emitting device as defined in claim 1, wherein the reflector is made of a liquid crystal polymer.	No construction necessary; plain and ordinary meaning.	"a structure forming a side wall for reflecting the light beam outgoing from the light emitting element"	

II. <u>U.S. Pat. No. 6,982,522</u>

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
1. A LED device, comprising: a base having a recess with the upper surface opened, the inner wall surface of the recess constituting a reflection surface; a LED chip disposed on the inner bottom of the recess; a resin filled in the recess, the resin including phosphors which absorb a part of light emitted from the LED chip to convert the wavelength thereof	a phosphor layer formed on the reflection surface / the phosphor layer No construction necessary; plain and ordinary meaning.	a phosphor layer formed on the reflection surface / the phosphor layer "A continuous thickness of phosphor formed on the inner wall of the recess. This phosphor layer is separate and distinct from the claimed phosphors included in the resin." This is a product-by-process claim.	
and emit light; and a phosphor layer formed on the reflection surface, the phosphor layer including the phosphors, wherein the phosphor layer comprises a plurality of phosphor layers each of which is excited to emit a different wavelength of	the phosphor layer including the phosphors No construction necessary; plain and ordinary meaning.	the phosphor layer including the phosphors See above construction with respect to "a phosphor layer formed on the reflection surface" and "phosphor layer." Indefinite.	

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
light from each other.	the phosphor layer comprises a plurality of phosphor layers	the phosphor layer comprises a plurality of phosphor layers	
	No construction necessary; plain and ordinary meaning.	See above construction with respect to "phosphor layer." "the phosphor layer comprises a plurality of continuous thicknesses of phosphor formed on top of one another with respect to the inner wall"	
3. A LED device as claimed in claim 1, wherein the plurality of phosphor layers are so arranged that the phosphor layer closer to the outside emits the shorter wavelength of	the plurality of phosphor layers No construction necessary; plain and ordinary meaning.	the plurality of phosphor layers See above construction with respect to "phosphor layer." "the plurality of continuous	
light.		thicknesses of phosphor formed on top of one another with respect to the inner wall"	
	the phosphor layer closer to the outside	the phosphor layer closer to the outside	
	No construction necessary; plain and ordinary meaning.	See above construction with respect to "phosphor layer." "the phosphor layer closest to the reflection surface"	

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
4. A LED device as claimed in claim 1, wherein the phosphor layer is formed by a method selected from the group consisting of vacuum depositing, printing and ink-jet applying.	No construction necessary; plain and ordinary meaning.	"A continuous thickness of phosphor formed on the inner wall of the recess. This phosphor layer is separate and distinct from the claimed phosphors included in the resin." This is a product-by-process claim.	
5. A LED device as claimed in claim 1, wherein the phosphors are enclosed by micro-capsules each comprising Si as a main component.	the phosphors No construction necessary; plain and ordinary meaning.	the phosphors Indefinite.	

III. <u>U.S. Pat. No. 7,012,277</u>

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
1. A semiconductor light emitting device comprising:	a metal body	a metal body	
an LED chip,	No construction necessary;	"a mass of a material with high	
a first lead frame on which said LED chip is mounted,	plain and ordinary meaning.	heat radiation"	
a second lead frame electrically connected to said LED chip via a wire, and			
a resin portion surrounding a circumference of said LED chip, and fastening said first and second lead frames,			
wherein a metal body is located under a region of said first lead frame where said LED chip is mounted, and			
wherein the second lead frame has a portion where the wire is connected and the metal body is provided to extend to a region below said portion of the second lead frame.			

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
2. The semiconductor light emitting device according to claim 1, wherein said metal body is spaced apart from	said metal body is spaced apart from said first and second lead frames	said metal body is spaced apart from said first and second lead frames	
said first and second lead frames.	No construction necessary; plain and ordinary meaning.	"said metal body is separated by a gap between the entire metal body and said first and second lead frames such that there is no contact between the metal body and either lead frame"	
3. The semiconductor light emitting device according to claim 1, wherein said metal body forms thermal and electrical contact with said	metal body No construction necessary; plain and ordinary meaning.	"a mass of a material with high heat radiation"	
first lead frame.	forms thermal and electrical contact	forms thermal and electrical contact	
	No construction necessary; plain and ordinary meaning.	"touches to form direct thermal and electrical contact"	

Case 6:20-cv-01056-ADA Document 35 Filed 10/29/21 Page 12 of 19

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
6. The semiconductor light emitting device according to claim 1, wherein said metal body includes at least one type of material selected from the group consisting of copper, aluminum, copper alloy, and aluminum alloy.	metal body No construction necessary; plain and ordinary meaning.	metal body "a mass of a material with high heat radiation"	

IV. <u>U.S. Pat. No. 7,154,125</u>

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
1. A nitride-based semiconductor light-emitting device comprising: a reflective layer formed on a support substrate; a p-type nitride-based semiconductor layer, a light-emitting layer and an n-type nitride-based semiconductor layer successively formed on the reflective layer; wherein a light extracting surface located above said n-type nitride-based	irregularities No construction necessary; plain and ordinary meaning.	irregularities Indefinite. If not indefinite, "uneven variations in structure in the shape of the upper surface of the light extracting surface, the dimension of which are larger than the wavelength of the emitted light divided by the refractive index in the nitride-based semiconductor layer"	
semiconductor layer has irregularities; and	high refractive index	high refractive index	
a high refractive index film including one selected from a group consisting of silicon nitride, indium oxide, neodymium oxide, zirconium oxide, titanium oxide, cerium oxide and bismuth oxide is formed on said n-type nitride-based semiconductor layer, and an upper surface of said high refractive index film is said light extracting surface.	No construction necessary; plain and ordinary meaning.	Indefinite.	

V. <u>U.S. Pat. No. 7,161,190</u>

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
1. A semiconductor light- emitting device, comprising:	heat-radiating member	heat-radiating member	
a light-emitting element;	No construction necessary;	"a structure formed of metal or	
a first lead frame having a main surface having said light- emitting element mounted thereon;	plain and ordinary meaning.	ceramic material for improving efficiency in heat dissipation"	
a resin portion for fixing said first lead frame, said resin portion has a reflecting portion reflecting light emitted from said light-emitting element; and			
a heat-radiating member			
bonded to a back face of said first lead frame with an			
electrically-conductive layer			
containing metal interposed			
therebetween, said electrically- conductive layer is formed to			
extend from an area below the			
reflecting portion to the area outside the area covered by the reflecting portion.			

Case 6:20-cv-01056-ADA Document 35 Filed 10/29/21 Page 15 of 19

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
5. The semiconductor light- emitting device according to claim 1, wherein said heat- radiating member contains at least one material selected from the group consisting of copper, aluminum, a copper alloy, and an aluminum alloy.	heat-radiating member No construction necessary; plain and ordinary meaning.	heat-radiating member "a structure formed of metal or ceramic material for improving efficiency in heat dissipation"	
6. The semiconductor light- emitting device according to claim 1, wherein said heat- radiating member is formed of a ceramic material.	heat-radiating member No construction necessary; plain and ordinary meaning.	"a structure formed of metal or ceramic material for improving efficiency in heat dissipation"	

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
7. The semiconductor light-emitting device according to claim 6, further comprising a second lead frame spaced apart from said first lead frame and electrically connected to said light-emitting element via a wire, wherein said heat-radiating member is bonded to the back face of said first lead frame and a back face of said second lead frame with said electrically-	heat-radiating member No construction necessary; plain and ordinary meaning.	heat-radiating member "a structure formed of metal or ceramic material for improving efficiency in heat dissipation"	
conductive layer interposed therebetween, and said electrically-conductive			
layer is split in order to make said first lead frame and said second lead frame electrically non-conductive via said electrically-conductive layer in a gap between said first and second lead frames.			

VI. <u>U.S. Pat. No. 7,301,176</u>

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
1. A semiconductor light emitting device comprising: a semiconductor light emitting element,	leading ends of said first and second lead frames are inserted into said light transmitting resin	leading ends of said first and second lead frames are inserted into said light transmitting resin	
a first lead frame on which said semiconductor light emitting element is mounted, a second lead frame electrically connected to said semiconductor light emitting element via a wire, and	No construction necessary; plain and ordinary meaning.	"leading ends of said first and second lead frames are placed inside the body of the light transmitting resin"	
light transmitting resin formed on said semiconductor light emitting element and on said first and second lead frames,			
wherein said light emitting element is surrounded by a light shielding resin,			
wherein leading ends of said first and second lead frames are inserted into said light transmitting resin to provide a holding portion holding said first and second lead frames,			
wherein said light shielding			

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
resin has a reflectance higher than a reflectance of said light transmitting resin, and			
wherein said light shielding resin is formed to cover a bottom surface and a side surface of said holding portion provided in said light transmitting resin.			
5. The semiconductor light emitting device according to claim 1, wherein a backside portion of said first lead frame corresponding to a region where said semiconductor	backside portion corresponding to a region where said semiconductor light emitting element is mounted	backside portion corresponding to a region where said semiconductor light emitting element is mounted	
light emitting element is mounted is exposed outside of said light transmitting resin.	No construction necessary; plain and ordinary meaning.	"the surface of the lead frame opposite to the surface of the lead frame where the semiconductor light emitting element is mounted"	

VII. <u>U.S. Pat. No. 7,490,959</u>

Disputed Claim	Plaintiff's Proposed Construction	Defendants' Proposed Construction	Court's Construction
1. A light emitting apparatus, comprising:	concave surface	concave surface	
a placement surface that includes an electrode;	No construction necessary; plain and ordinary meaning.	"a surface that is more than naturally or slightly concave"	
a light emitter that is placed on the placement surface; and a transparent sealing resin that seals the light emitter, and forms a concave surface that is a light-outgoing surface via which light outgoes, the concave surface facing a surface of the light emitter, from which surface light is emitted, and the light emitter and the electrode being connected via a wire that is curved in such a way that a top section of the curved wire substantially coincides with a deepest section of the concave surface.	a top section of the curved wire substantially coincides with a deepest section of the concave surface No construction necessary; plain and ordinary meaning.	a top section of the curved wire substantially coincides with a deepest section of the concave surface Indefinite. If not indefinite, "a top section of the curved wire touches or intersects a deepest section of the concave surface"	